

PATENT
Attorney Docket No.: 08098.0012
Customer Number: 22,852

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Dennis Mendiola et al.

Serial No.: Not yet assigned

Group Art Unit:

Filed: June 12, 2001

Examiner:

For: A TRADING AND AUCTION SYSTEM, AND METHODS FOR THE
AUTHENTICATION OF BUYERS AND SELLERS AND FOR THE
TRANSMISSION OF TRADING INSTRUCTIONS IN A TRADING AND
AUCTION SYSTEM

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Prior to examination, please amend the above-identified application as
follows:

IN THE SPECIFICATION:

Please amend the specification as follows:

Page 1, after the title, insert

--CROSS REFERENCE TO RELATED APPLICATION

This application claims the benefit to U.S. Provisional Application No.

60/212,822, filed June 21, 2000, the content of which is incorporated herein by
reference.--

LAW OFFICES

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REMARKS

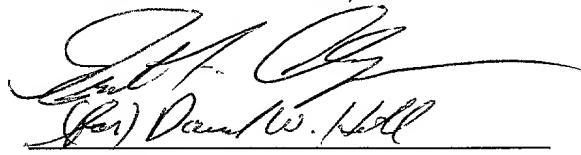
This amendment to the specification is made under 35 U.S.C. 119(e)(1) and 37 C.F.R. 1.78(a)(4) to identify and claim the benefit of the U.S. Provisional Application.

If there are any fees due in connection with the filing of this amendment, please charge the fees to Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

By:



David W. Hill

Reg. No. 28,220

Date: June 12, 2001
DWH/EFC/FPD/peg

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